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LEAF FEATURES EXTRACTION AND RECOGNITION APPROACHES TO CLASSIFY PLANT

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ABSTRACT

Plant classification based on leaf identification is becoming a popular trend. Each leaf carries substantial information that can be used to identify and classify the origin or the type of plant. In medical perspective, images have been used by doctors to diagnose diseases and this method has been proven reliable for years. Using the same method as doctors, researchers try to simulate the same principle to recognise a plant using high quality leaf images and complex mathematical formulae for computers to decide the origin and type of plants. The experiments have yielded many success stories in the lab, but some approaches have failed miserably when tested in the real world. This happens because researchers may have ignored the facts that the real world sampling may not have the luxury and complacency as what they may have in the lab. What this study intends to deliver is the ideal case approach in plant classification and recognition that not only applicable in the real world, but also acceptable in the lab. The consequence from this study is to introducing more external factors for consideration when experimenting real world sampling for leaf recognition and classification does this.

Keywords: Leaf Features, Extraction, Recognition, Plant Classification

1. INTRODUCTION

Each leaf has its own features and carries significant information that can help people to recognise and classify the plant by looking at it. Leaf shape is a prominent feature that most people use to recognise and classify a plant (Hossain and Amin, 2010). Wu *et al.* (2007) in had stated that diameter, physiological length, physiological width, leaf area and perimeter are basic geometry information can be extract from the leaf shape (Hossain and Amin, 2010). In addition, leaf colour, textures and vein are also considered as features (Kadir *et al.*, 2011a). All these features are useful for recognition and classification of leaf image. **Figure 1** illustrates the fundamental of recognition and classification process by computer using a leaf image in order to recognise and classify a plant.

Previously, most of the proposed approaches are focused on recognising and classifying method. Recognition process normally happens during preprocessing, followed by the extraction process as shown in **Fig. 1**. After that, classification process will look up into a database to comparing the leaf features. Kadir *et al.* (2011a) had mentioned there are two categories of recognising method which are (i) contour-based and (ii) region-based approaches. However, contour-based approach has a difficulty in finding a correct curvature point compared to region-based approach (Kadir *et al.*, 2011a). Another recognition method is moment invariants, which was proposed by (Zulkifli *et al.*, 2011) where he worked with 10 kinds of leafs.

Although many approaches have been proposed and tested with almost the entire leaf features successfully extracted and recognised, still those approaches have their own limitations. It is clear that some approaches are found to be inaccurate, primarily because the input image contains noise. Besides that, different understanding on consideration to the extracted features also influence the finding because a different definition to the features or different dataset has been used for testing. The summary of all findings from the comparative study is presented in the last section of this study.

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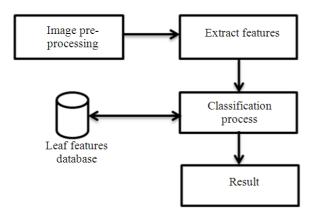


Fig. 1. Fundamental of plant recognition and classification

1.1. Leaf Features

There are 5 basic features that can be used to recognise a leaf as stated by Wu *et al.* (2007) and those features are basic geometric features that are diameter, physiological length, physiological width, leaf area and leaf perimeter. On top of that, there are 12 digital morphological features that have been defined by Wu *et al.* (2007) based on the basic geometric features (Hossain and Amin, 2010; Kadir *et al.*, 2011a; Zulkifli *et al.*, 2011).

Mentioned by Kadir *et al.* (2011b) and Wu *et al.* (2006) was divided the leaf features into 2 categories, which are the general visual features and domain-related visual features. The general visual features are consists of colour, texture and shape. It was defined as common features on images and no relation with specific type and content of images. Domain-related visual features combined with morphology characteristics of a leaf are shape, dent and vein. In addition, domain-related visual features are compulsory for extraction process (Kadir *et al.*, 2011a).

Global features and local descriptors are 2 categories, which are broken down from common features as defined by Shabanzade *et al.* (2011). The global features are properties that define a leaf shape in general, such as length, width and leaf area. Local descriptors describe leaf details such as texture, contrast, correlation and homogeneity (Shabanzade *et al.*, 2011). The shape, colour and skeleton are basic features for plant classification according to (Jing *et al.*, 2009). Ehsanirad (2010) had considered in his research that leaf shape or leaf texture or combination of both properties as extracted and recognised leaf features. In

addition, the different features are chosen to describe different properties of a leaf. Kadir *et al.* (2011a) used 2 geometric features for recognition, which are slimness and roundness. Then, he used additional leaf features in his research, which are colour, vein and texture (Kadir *et al.*, 2011b).

These facts were further confirmed by al., (Fotopoulou et 2011; Valliammal and Geethalakshmi, 2012) who stated in their publications that leaf image could be categorised based on colour, texture, shape or combination of these properties. Later, Zhang and Zhang (2008) was enhanced that the properties for these features such as surface area, surface perimeter and the disfigurement are inherited from the shape features, variance of red, green and blue channels are belonging to the colour features and texture energy, texture entropy and texture contrast are fitting to the texture features. Hossain and Amin (2010) were done a research on leaf shape in order to improve the previous shape feature extraction method. Subsequently, they had defined several morphological features, which are properties from the shape features such as eccentricity, area, perimeter, major axis, minor axis, equivalent diameter, convex area and extent. Meanwhile, Li et al. (2005) and Fu and Chi (2003) have used a leaf vein features for plant recognition (Hossain and Amin, 2010; Prasad et al., 2011). The essential properties have been considered from the leaf vein features are vein pixels and width of the vein. According to Najjar and Zagrouba (2012) and Lee and Chen (2003) had used region-based features for the proposed method in order to classifying the leaf (Najjar and Zagrouba, 2012). Moreover, the features have been defined consists of aspect ratio, compactness, centroid and horizontal or vertical projections. In order to classify weeds from sugar beet Jafari et al. (2006) was focused on leaf colour features in his research (Swain et al., 2011). The purpose is weed colour is different from main plant and soil. Therefore, it is easy to differentiate between weeds and sugar beet. Intent to identify citrus disease, Pydipati et al. (2006) had mentioned that colour texture features is a key features (Cubero et al., 2011).

On top of that, there are various definitions to the leaf features accordingly to the researchers and their research objectives. **Table 1** presents the appropriate view to the definitions that was defined by previous researchers according to certain criteria that may useful to our research.



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Leaf features definition 5 basic features (Basic Geometric Features): • Diameter • Physiological length • Physiological width • Leaf area • Leaf perimeter	Sub-Definition From Basic Geometric Features can another 12 Digital Morphological Features: • Smooth factor • Aspect ratio • Form factor • Rectangularity • Narrow factor • Perimeter ratio of diameter
 Diameter Physiological length Physiological width Leaf area 	 12 Digital Morphological Features: Smooth factor Aspect ratio Form factor Rectangularity Narrow factor
 Physiological length Physiological width Leaf area	 Smooth factor Aspect ratio Form factor Rectangularity Narrow factor
 Physiological width Leaf area	Form factorRectangularityNarrow factor
 Physiological width Leaf area	Form factorRectangularityNarrow factor
• Leaf area	Narrow factor
• Leaf perimeter	Narrow factor
	Derimator ratio of diameter
	• Perimeter ratio of physiological length
	• Perimeter ratio of physiological width
	• Vein features
• Colour	Shape features:
	Surface area
	Surface perimeter
	Disfigurement
• Combination of these properties	Colour Features:
	Variance of:
	• Red
	• Green
	• Blue
	Texture Features:
	Texture reatures. Texture energy
	Texture entropy
c Change	• Texture contrast
• Snape	Shape properties:
	• Eccentricity
	• Area
	• Perimeter
	• Major axis
	• Minor axis
	• Equivalent diameter
	• Convex area
	• Extent
• Vein	Vein properties:
	• Vein pixels
	• Width
Global features:	Properties of leaf shape:
 Leaf shape 	• Length
Local descriptors (Describing	• Width
the leaf details):	• Leaf area
• Example- Texture	Texture details:
	• Contrast
	Correlation
	• Homogeneity
Basic leaf features:	
• Shape	
• Colour	
Skeleton	
• Texture or	
	 Texture Shape or Combination of these properties Combination of these properties Shape Shape Vein Global features: Leaf shape Local descriptors (Describing the leaf details): Example- Texture Basic leaf features: Shape Colour Skeleton Shape



Table 1. Continue	
Kadir et al. (2011a; 2011b)	Geometric features:
	• Slimness
	• Roundness
	Additional features:
	• Colour
	• Vein
Wu et al. (2006) and Kadir et al. (2011a)	General Visual Features:
	• Colour
	• Texture
	• Shape
	Domain-Related Visual Features
	(Combination of morphology
	characteristic of leaf):
	• Shape
	• Dent
Lee and Chen (2003) and	
Najjar and Zagrouba (2012)	Region-Based features:
	• Aspect ratio
	• Compactness
	• Centroid
	• Horizontal projections

Vertical projections

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Based on the finding of the previous works, as summarised in Table 1, the leaf features have been redefined. There are 2 categories of features, which are geometric and visual feature. Geometric features generally defined as features that can be physically touched by humans and manually measure using common measurement tool such as a ruler. The feature is only the shape of the leaf. But, the shape of the leaf consists of several attributes or properties, which are diameter, perimeter, margin, slimness, roundness, shape of the tip and midrib. Figure 2 depicts the leaf structure that shows the shape of the leaf, or the geometric feature. Visual feature can be measured by using a special method and a computer and is not tangible. These features are colour, vein and texture of the leaf. Colour feature is a variance of red, green and blue. The texture features are the contrast, correlation and homogeneity.

Most of the proposed approaches started the process with image pre-processing. Many techniques have been implemented during pre-processing; for instance, to convert the RGB image to gray scale, transform the gray scale image to binary image and the use image enhancement. The purpose of implementing these processes is to minimize the noise in the image that can disturb the extraction and classification process. Almost all approaches are implemented in the same manner during image pre-processing. Therefore, this study will not elaborate much on the image pre-processing. This section will focus on the proposed leaf feature extraction and classification approach by previous researchers.

1.2. Features Extraction

Hossain and Amin (2010) was extracted a geometric features by using feature extraction method in which the features presented the shape of the leaf as shown in Table 1. Moreover they defined all the extracted features that consist of diameter as the longest distance between any two points on the margin of the leaf as shown in Fig. 2(b) and denoted as D; physiological length is the distance between two terminals with human interfered to mark the terminals by using mouse click as shown in Fig. 2(b) and denoted as L1, physiological width is the longest distance between points of those intersection pairs and denoted as L2, leaf area is a number of pixels of binary value 1 and leaf perimeter is denoted as P; in Fig. 2(b). On the other hand, (Valliammal and Geethalakshmi, 2012) used image segmentation for leaf feature extraction in order to locate object shape. Instead of segmentation, (Fotopoulou et al., 2011) was implemented Centroid Contour Distance (CCD) and Angle Code (CD) measurement for extracting the leaf edges. Although there are different approaches for extraction have been used, but they share a common goal, that is to extract the leaf features, as summarised in Table 1.



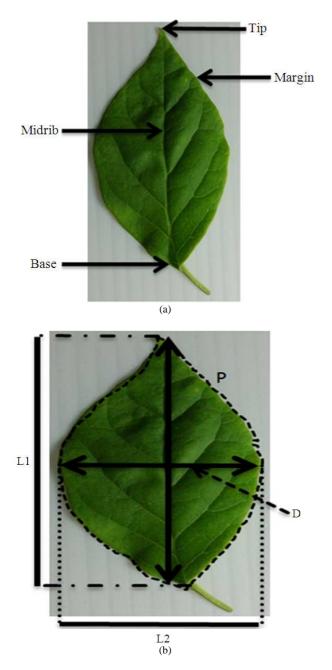


Fig. 2. Geometric Feature (a) Basic structure of the leaf (b) Example of leaf shape properties

Extracting vein feature is a key for modelling plant organs and living plant recognition according to Li *et al.* (2005); Fu and Chi (2003); Hossain and Amin (2010) and Prasad *et al.* (2011). On the other hand, Li *et al.* (2005) was proposed a new vein extraction method by integrating snake technique with Cellular Neural

Network (CNN) (Najjar and Zagrouba, 2012). While Fu and Chi (2003) had stated that edge operator methods such as Sobel, Prewitt and Laplacian are more suitable method for extracting vein features. Therefore by proposing two-stage approach, he has successfully extracted the vein features (Prasad *et al.*, 2011). Furthermore, the first stage process is preliminary segmentation based on the intensity histogram of the leaf image. Second stage process is fine checking using Artificial Neural Network (ANN) classifier.

Shabanzade *et al.* (2011) used statistical moments and histogram-based features method in order to extract a leaf texture feature. The reason method being used because to avoid lose some significant information regarding pixel, pixel position and information of the texture. All extracted features are classified into local descriptors category. Besides, thresholding and segmentation method has been used where the image has converted into binary image for separating the background and the object. Finally the extracted information has been classified into global features category.

Locally Linear Embedding (LLE) is to form a vector value from the image in order to extract leaf features (Jing *et al.*, 2009). The shape feature is extracted using the method that constitutes a local coordinate of the leaf edges and maps them to the global one. Meanwhile, Ehsanirad (2010) was implementing Gray-Level Cooccurrence Matrix (GLCM) and Principal Component Analysis (PCA) algorithms to extract leaf texture features in order to measure classification accuracy of both algorithms used for extraction, but the extraction process will influences the classification result. Hence, any mistake that occurs during extraction that will inadvertently affect the classification accuracy.

Kadir et al. (2011a) was recorded the experimental results of several methods with initial assumption that the methods have potentials to be used for plant extraction and classification. At the end of the experiment, he concluded that Polar Fourier Transform (PFT) method is highly potential to achieve his objective, where the objective has been set before the experiment started which was to measure the performance of accuracy for every method in order to extract and identify plants. Later, he used feature extractor in his plant identification system for extracting the leaf features as stated in Table 1 (Kadir et al., 2011b). According to Kadir et al. (2011a) and Wu et al. (2006) was grouped the features into two categories as shown in Table 1. Furthermore, to extract the general visual features he used moment invariants to describe the shape and shape properties features. Subsequently he used Artificial Neural



Network (ANN) for extracting vein features, which classified in domain-related visual features category. Another recent work done by Lee and Chen (2003) that was defined region-based features to be extracted for plant identification and implementing Region of Interest (ROI) to the leaf in order to extract the features by using single thresholding method (Najjar and Zagrouba, 2012).

In short, there are many methods that have been used and proposed previously in order to extract leaf features. Based on the papers reviewed, most of the feature extraction methods concentrate around extracting the leaf shape. Feature extraction is a key process that will influence final results. Any mistakes performed during extraction can generate incorrect output to the system. However, concentrating to extract leaf shape features is irrelevant if the condition of the leaf shape is cropped by the human or eaten by the insect. This limitation can cause incorrect calculation in the system and finally produce incorrect output. In other word, studies on the acceptable testing data are needed. The purpose is to identify the data either it can be processed by the system or not. In addition, the process shall be embedded in the pre-processing method. Finally, improvement must be made in the future to overcome the limitations.

1.3. Plant Classification

Classification process is the final phase in the plant identification system. Almost all methods that have been proposed in this phase are to retrieve the processing input in a vector value format from the extraction process. The examples of the vector values are diameter, perimeter, aspect ratio, colour variances and extent value. All values will be trained in the classification methods or algorithms in order to recognise the plants.

Wu et al. (2007) used Probabilistic Neural Network (PNN) to train the extracted values of 1800 leaves that was used and classified into 32 species of plant (Hossain and Amin, 2010; Kadir et al., 2011a; Zulkifli et al., 2011). The result is on average 90.312% accuracy. The testing to the proposed approach was conducted also with other general-purpose classification algorithms and it was found out that the algorithms only focused on leaf shape information. In other words, the proposed approach has an advantage because the approach is not only concentrating on leaf shape information in order to classify the plants. Meanwhile, Kadir et al. (2011b) implemented the same method in Wu et al. (2007) proposed approach. According him, PNN method consists of several layers and the input layer will retrieve the vector values from the extraction process for training the method. However, colour and texture features become additional input to train the

method, which was not previously applied by Wu *et al.* (2007) and Kadir *et al.* (2011b). Consequently he has shown that there is an improvement of 3.44% in accuracy of the plant classification compared to 90.312% previously done by Wu *et al.* (2007) and Kadir *et al.* (2011b).

In different research, (Jing et al., 2009) proposed moving center hypersphere classifier method to identify the plant. The method consists of four processing steps which implement k-Nearest Neighbours (kNN) algorithm refers (Jing et al., 2009) for process details. At the same time, other approaches also have been tested such as most close neighbour classifier, 4-close neighbour classifier and BP nerve network algorithm. All algorithms have been trained with a same dataset, which include 20 species of plants and for each species, 20 samples of leaf are collected. Furthermore, neighbours k was set from 4 to 15 for every algorithm. Finally, the training result to the proposed approach was 92.4% rate of the average for plant identification. Beside, Liao et al. (2010) had applied Euclidean distances to calculate the features vector to recognise the plant species. The successfulness of recognition process was 92% average of accuracy. However, the testing that has been conducted was set up with a different objective from the start, where main goal is to increase the recognition processing time. By the way she also recorded the recognition result for reference. On the other hand, Shabanzade et al. (2011) used Linear Discriminant Analysis (LDA) technique to discriminate between two or more categories based on a series of variables. Then, he used nearest neighbour classifier algorithm to identify the plant. 60 species of plants and 20 samples for every species have been used for training. Consequently the recognition rate is 94.3% accuracy. In addition, he mentioned the proposed features and method has an advantage because it is able to tolerate with the expecting problem that occur on the leaf.

One of the neural network methods are known as feed-forward back-propagation neural network was executed by Wu *et al.* (2007) as a recognition method in his proposed approach (Kadir *et al.*, 2011a). The number of nodes of input layer is the same as the number of extracted features and similarly with the output layer is same, as the number of plant categories, become the main reason why the method has been used for recognition purpose. Furthermore, the method that has been structured consists of three layers, which are 16 nodes of input layer. The method has been trained based on 1200 samples which consist of 6 species of



plant and 30 leaf images from each species. The result of the training was recorded based on the species.

Still neural network algorithm has been chosen for recognition purpose. This time Xiao et al. (2005) was used Nearest Neighbour classifier (1-NN), k-Nearest Neighbour classifier (k-NN) and Radial Basis Probabilistic Neural Network (RBPNN) methods to train the samples (Beghin et al., 2010; Prasad et al., 2011; Cope et al., 2012). The vector values were retrieved from the previous segmentation process, where the algorithm was proposed to integrate with Wavelet Transform (WT) and Gaussian interpolation methods. As a result, it was 93.17% for (1-NN), 85.47% for (k-NN where k = 5) and 91.18% for (RBPNN). The finding is increasing the value of k will improve the stability of the proposed method in order to recognise the plant. Du et al. (2007) was proposed Move Median Centers (MMC) hypersphere as a classifier to recognise and classify the plant. 20 species of plant have been used to be sampled for testing and greater than 75% of success rate for recognition process (Beghin et al., 2010; Cope et al., 2012). General Regression Neural Network (GRNN) was used by Zulkifli et al. (2011), for recognition purposes. Similarly with other methods, the vector values from the extraction process are input into the classifier to be trained. 10 species and 10 samples from each species have been used for training. The result from the testing is 100% accuracy rate of plant recognition and classification. Not only that any changes in the spread parameters of the GRNN will not affect the process of leaf recognition.

Even most of the proposed classifiers were successful at achieving their objectives, but the situation can only be true with certain assumption created at early of the project. For instance, the data samples must be in a very good condition or in other words the image must not contain substantial noise that can affect the process. That is the purpose of this study which to search and select the optimum classifier that can be applied in our project and be useful with our data samples. **Table 2** presents the appropriate view of the proposed classifiers and the rate of success for each to recognise the plant.

Most of researchers implemented nearest neighbour or neural network as the classifiers in order to recognise the plant. However, even with the same classifier used for recognition, they still yield different rate of accuracy at the end of the testing as shown in **Table 2**. There are several situations that can be assumed as factors influencing the recognition and classification process. The factors can be categorised into two groups, which are physical and technical factors. Detail explanation will be mentioned in a next section.

1.4. Physical and Technical Factors

Recently, most of the researchers are focusing on increasing the rate of accuracy and processing time for extracting, recognising and classifying processes. However, there is less discussion on the factors that may influence those processes. As mentioned in a previous section, physical factor and technical factor are categories of factors that influence those processes. Physical factor can be defined as a factor on the data side that can be seen by using naked eyes while technical factor is a factor that occurs on the approach side. **Table 3** shows the factors in detail.

As shown in Table 3 both factors may affect the classification rate. The reason for highlighting the factors is because usually the dataset will be tested is in a very good condition of the leaf image. In other words, the leaf image will be photographed in a plain background, good lighting condition, just a piece of the leaf in an image and a complete shape of the leaf (situation a). However, the real world situation of dataset which the image is photographed directly from the tree together with other leaves on branch and the sun as a source of the lighting, disregarding any condition of the shape of the leaf and without the use any plain background (situation b). Most of the approaches are proposed based on the situation a. However, in certain situations, some plants are restricted from plucking because the plants are under supervision of the responsible institution to save from extinction. Therefore, the situation b will occur, but the previous proposed approaches are no longer applicable. Both situations are the physical factor and both situations will affect the classification rate.

Technical factor is another category shown in **Table 3**. The factor has been highlighted because most approaches have been developed based on the *situation a* and just focusing on improvement and proving the theory or knowledge matters. However, in terms of implementation in the real world situation, the result of the testing will not be as expected. The researchers may have different objectives or focus that they want to achieve or they may have set the initial assumption such that the image must be clear of any noise before the development. That is a reason for most proposed approaches to have a limitation when they are tested in the real world condition.



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Table 2. List of classifier and the successfulness rate of classifying and recognizing

Classifier name	Accuracy rate of plan classifying and recognizing (%)
Probabilistic Neural Network (PNN) Wu et al. (2007); Hossain and Amin (2010);	90.312%
Kadir et al. (2011a) and Zulkifli et al. (2011)	
Probabilistic Neural Network (PNN) + Color and Texture (Kadir et al., 2011b)	93.752%
Moving Center Hypersphere Classifier Method+ k-Nearest Neighbours (kNN);	92.400%
where $k = 4$ to 15, (Jing <i>et al.</i> , 2009)	
Euclidean Distances (Liao et al., 2010)	92.000%
Linear Discriminant Analysis (LDA) + Nearest Neighbour (1-NN)	94.300%
(Shabanzade et al., 2011)	
Integration of Wavelet Transform (WT) & Gaussian Interpolation Methods +	
i. Nearest Neighbour (1-NN)	93.170%
ii. k -Nearest Neighbour (k-NN)	85.470%
iii. Radial Basis Probabilistic Neural Network (RBPNN)	91.180%
Xiao et al. (2005); Beghin et al. (2010); Prasad et al. (2011) and	
Cope <i>et al.</i> (2012)	
Move Median Centers (MMC) Hypersphere Classifier Du et al. (2007);	75.000%
Beghin et al. (2010) and Cope et al. (2012)	
General Regression Neural Network (GRNN) (Zulkifli et al., 2011)	100.000%

Table 3. Categories of factor may affect the plant classification rate

	Physical Factor	Technical Factor
Definition	Occur on the data side and can be seen by using naked eyes. More focus on the test objects.	Occur on the method side and can be seen during or after the testing. More focus on the algorithms, techniques or methods use for extraction, recognition and classification process.
Examples	 i. Incomplete shape of the objects ii. Blurring, distortion, brightness, contrast on the images iii. Weather condition that may affect the images during photographing 	 i. Project or research objectives want to be achieved ii. Project or research focus iii. Initial assumption or scope to the dataset of the project or research

In addition, the extraction process and the extracted features also affect the classification rate. The reason is a different extraction methods will extract different features. Besides, more features are extracted and considered for recognition process, hence, more accurate the classification output. In fact, the same classifier has been used for recognition and classification, but because of different extraction methods were used earlier, therefore the accuracy rates are not the same as what has been produced.

In short, this section highlights and categorises the factors that affect plant classification process that was previously ignored by researchers. Generally, both factors are needs to be considered in the future development.

2. CONCLUSION

The findings of this study are the types of leaf features that should be extracted, external factors that

must be considered before the extraction process, types of extraction and classification methods that can be used for plant recognition and classification. In other words, the results of this study can be used as a specification of leaf features that must be considered for plant recognition and classification purposes as shown in **Table 4**.

Finally, three classifiers have been selected for testing and future development. The selection will be based on type of leaf features that can be extracted and recognised and ability of the pre-processing method to handle the noise or other external factors in the image. The selected classifiers are Probabilistic Neural Network (PNN) + Colour and Texture as proposed by (Kadir *et al.*, 2011b), Linear Discriminant Analysis (LDA) + Nearest Neighbour (1-NN) proposed by (Shabanzade *et al.*, 2011) and General Regression Neural Network (GRNN) proposed by (Zulkifli *et al.*, 2011).



Table 4. Specification of the leaf features		
Main features	Sub-features	
Shape	• Diameter	
	• Perimeter	
	• Degree of the leaf tip	
	 Roundness 	
	• Slimness	
Color	 Variance of Red, Green 	
	and Blue (RGB)	
Texture	Contrast	
	 Correlation 	
	 Homogeneity 	

 Table 4. Specification of the leaf features

2.1. Future Work

Next step in the future work, three selected classifiers will be tested based on our dataset and the results will be recorded. Only the better classifier will be used in our research. However, we may have to consider images that contain many leaves in order to test the ability of the classifiers.

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